

**General information**Name of company ⁽¹⁾ _____

Address _____

Category to display on ALFI's website ⁽¹⁾
(Please select only one category)

<input type="checkbox"/> Consultant	<input type="checkbox"/> Custodian / Central Administration	<input type="checkbox"/> IT / Technology Support	<input type="checkbox"/> Management Company (2)	<input type="checkbox"/> Other
<input type="checkbox"/> Consultant / Auditor	<input type="checkbox"/> Financial Sector Professional (PSF)	<input type="checkbox"/> Law firm	<input type="checkbox"/> Management Company / AIFM (2)	

(1) the "name of company" and the "category" are automatically displayed on ALFI's website under the "Member company directory" section.

(2) Fund and asset management companies must comply with the eligibility criteria set up in Article 7 of ALFI's Articles of association and to calculate the registration ratio as defined in Article 1 of ALFI's internal rules of procedure.

Please specify if the Company is acting principally as third-party management company? YES NO**ALFI internal information**

Contact names used for all ALFI mails (such as AGM documentation / ALFI contribution / e-mails...)

Name :	Name :
Title :	Title :
Phone : +	Phone : +
e-mail :	e-mail :

Professional activity _____

Total number of employees _____

Additional information to display on ALFI's website (optional)

Company website _____ Main phone number _____ +

Main e-mail address _____ Main fax number _____ +

Contact names *(If the information below matches the information provided above, please just refer "see above")*

Name :	Name :
Title :	Title :
Phone : +	Phone : +
e-mail :	e-mail :

The undersigned agrees / does not agree (tick the right box) that all data provided inside the section named *Additional information to display on ALFI website* be published together with all those included in the section named *General information* on ALFI website.

Please note that each ALFI member should notify the ALFI Secretariat of any subsequent amendments to be displayed on its website. ALFI cannot be held responsible for any outdated data.

Applies for membership with ALFI

The undersigned undertakes to comply with ALFI's articles of association, ALFI's internal rules of procedure and ethical rules of the profession.

Important. Please attach a copy of your company's articles of incorporation.

Date : _____

Request introduced by _____

Job title : _____

Signature: _____

Method of contribution for membership Year 2021

I. Different categories of ALFI members

(for more details see ALFI Articles of Association, Chapter 2 Members Art. 7 - Eligibility)

There are three main categories that may become members of ALFI:

- 1) **Full members** that are divided in 2 categories:
 - a) **Member Funds**: Undertakings for Collective Investment and other investment vehicles, domiciled in Luxembourg,
 - b) **Providers of Services** to the Luxembourg collective investment management industry, such as but not limited to central administrations, custodians, management companies, "PSF"... domiciled in Luxembourg
 - 2) **Associate members**, which are established in a foreign country and which have a link with a member funds or a Provider of Services (NB: there is no right to vote for this category).
 - 3) **Individuals** (i.e. physical persons) provided that comply with conditions defined under the Article 7 - Eligibility.
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II. Methods of contribution

The contributions are fixed both by ALFI membership during the General Meetings and by ALFI Articles of Association (see *Chapter 8 Article 19 - Annual contributions*).

According to the 2020 General Meeting, the annual contribution structure for 2021 is as follows:

- a **variable** contribution for **Member Funds** with a maximum of 130 000.- Euros by a single initiator and a minimum of 675.- Euros and a maximum of 56 000.- Euros per fund (see *III. Methodology of calculation*);

- a **fixed** contribution for **Providers of Services**, with a maximum set up at 20 000.- Euros. The calculation of the contribution is based on the number of staff employed by the Provider of Services:

▪ <=10 employees	3 500.-	Euros
▪ 11 à 25 employees	4 500.-	Euros
▪ 26 à 50 employees	5 500.-	Euros
▪ 51 à 100 employees	6 500.-	Euros
▪ 101 à 200 employees	7 000.-	Euros
▪ 201 à 500 employees	8 000.-	Euros
▪ 501 à 750 employees	10 000.-	Euros
▪ 751 à 1000 employees	15 000.-	Euros
▪ >1000 employees	20 000.-	Euros

- a fixed contribution for **Associate Members** : 3 500.- Euros.
- a fixed contribution for **Individuals** : 475.- Euros.

III. Methodology of calculation applied to Member Funds for the 2021 contribution following decisions taken by the 2020 General Meeting.

For all Member Funds, a variable contribution is applied and calculates as follows:

60.- Euros per NAV - tranche of 25 million Euros as at 31 December 2020.
and 30.- Euros per fund / sub-fund (in case of an umbrella fund).

with a minimum of 675.- Euros and a maximum of 56 000.- Euros per fund.

NB :

- *Please note that each initiated tranche of 25 million Euros under management is owed. Consequently, the total number of tranches will be rounded up to the nearest integer figure.*
- *In accordance with ALFI Articles, all parameters (assets under management and sub-funds) refer to 31/12/N-1*

Example of calculation

A fund "X" with 4 sub-funds and net assets of 100 million Euros as at 31 December 2020. The contribution 2021 will amount to:

1st Stage: Calculation following the basic formula

$(4 \text{ sub-funds} * 30.- \text{ Euros} = 120.- \text{ Euros}) + (100\,000\,000 / 25\,000\,000 = 4 \text{ tranches à } 60.- \text{ Euros} = 240.- \text{ Euros})$
= 360.- Euros (theoretical amount)

2nd Stage: Check if the rules of minimum (675.- Euros) or of maximum (56 000.- Euros) should be applied:

As the theoretical amount is below the minimum fixed by the General meeting, the minimum of 675.- Euros will apply. The contribution for 2021 year will therefore amount to 675.- euros.

If the theoretical amount would have been above 56 000.- Euros, the contribution would be 56 000.-Euros, otherwise the contribution for 2021 would be the theoretical amount.

3rd Stage: Check whether the rules of eligibility criteria for a same initiator is respected and if the rule of maximum per initiator (130 000.- Euros) should be applied:

Member Funds must comply with the eligibility criteria set up in Article 7 of the Articles of association, and for that purpose, the registration ratio as defined in Article 1 of ALFI's internal rules of procedure will apply.

IV. Voting rights

Each Member, except Associate Members, receives the right to vote at the ALFI General Meeting as follows:

1 euro <=> 1 vote.
