

Structure of ALFI committees 2019/2020

A

Management Company Committee

Chairpersons: Daniela Klasen-Martin

(Crestbridge Management Company S.A.)

Craig Blair (Franklin Templeton International Services S.à r.l.)

ALFI Coordinator: David Zackenfels

- A. Management Company Steering Committee
Daniela Klasen-Martin (Crestbridge Management Company S.A.), Craig Blair (Franklin Templeton International Services S.à r.l.)
- A1 Due Diligence on Management Companies (Service Providers on ManCo)
Britta Borneff (MDO Management Company S.A.)
- A2 Due Diligence on Service Providers
Gregory Kok (JTC), Elina Vincent (Pictet), Revel Wood (One Group Solutions)
- A3 Marketing and distribution
Daniela Klasen-Martin (Crestbridge Management Company S.A.), Camilla Lindberg (Wells Fargo Asset Management Luxembourg S.A.)
- A4 Management Company and its eco-system
Sven Rein (Pancura)
Craig Blair (Franklin Templeton International Services S.à r.l.)
- A4.1 Responsibilities
Olivia Tournier-Demal (MJ Hudson Management S.A.)
Sven Rein (Pancura)
- A4.2 On-Site Inspections
Craig Blair (Franklin Templeton International Services S.à r.l.)
- A4.3 Valuation
Ralph Brödel (Luxembourg Investment Solutions S.A.)
- A5 Cross Border (out and in)
- A6 Conducting officers
Craig Blair (Franklin Templeton International Services S.à r.l.), Gregory Kok (JTC)

B

Promotion & Conferences Committee

Chairpersons: Steve Bernat (One Group Solutions)

Germain Birgen (Banque de Luxembourg S.A.)

ALFI Coordinators: Camille Thommes, François Drazdik

- B. Promotion Steering Committee
Germain Birgen (Banque de Luxembourg S.A.)
- B1 ALFI European Asset Management Conference Committee
Chris Edge
- B2 ALFI Global Distribution Conference Committee
Chris Edge
- B3 Risk Management Conference
Luc Neuberg (House of Training)
Christoph Adamy (Allianz Global Investors GmbH, Zweigniederlassung Luxembourg)
- B4 ALFI PE & RE Conference Committee
Serge d'Orazio (d'Orazio, Serge)
- B5 ALFI Golf Event
Ulrich Binnering (ULB Consult S.à r.l.)
- B6 Roadshows

- B6.1 Michèle Eisenhuth (Arendt & Medernach S.A.)
ALFI Roadshow to Frankfurt
Catherine Rückel (PricewaterhouseCoopers, Société Coopérative)
Maria Löwenbrück (Union Investment Luxembourg S.A.)
- B6.2 ALFI Roadshow to Switzerland
Daniel Siepmann (Credit Suisse Fund Services (Luxembourg) S.A.)
Lucienne Andring (Banque de Luxembourg S.A.)
- B6.3 ALFI Roadshow to London
Chris Edge
- B6.4 ALFI Roadshow to the US
Michèle Eisenhuth (Arendt & Medernach S.A.)
- B6.5 ALFI Roadshow to Scandinavia
- B7 ALFI Advisory Committee
TA & Distribution Operations Forum
Hugo Larginho Bras (avantage Reply (Luxembourg) S.à r.l.)
Rafal Kwasny (Franklin Templeton International Services S.à r.l.)
- B8 Leading Edge Conference
Christophe Lentschat (Arendt Services S.A.)
Graham Goodhew (JPMorgan Asset Management (Europe) S.à r.l.)
- B9 Hong Kong
Ching Yng Choi (ALFI Asia Representative Office)
- B10 Middle East
Germain Birgen (Banque de Luxembourg S.A.)
- B10.1 Dubai
Emmanuelle Entringer (Arendt & Medernach S.A.)
- B11 Singapore
Valérie Mantot (Apex Fund Services)
Ching Yng Choi (ALFI Asia Representative Office)

C

Alternative Investments Committee

Chairperson: Claude Niedner (Arendt & Medernach S.A.)

ALFI Coordinator: Susanne Weismüller

- C1 Alternative Investments Steering Committee
Claude Niedner (Arendt & Medernach S.A.)
- C1.1 AIFMD review and developments
Claude Niedner (Arendt & Medernach S.A.)
- C2 Hedge Funds and Liquid Alternatives
Jerôme Wigny (Elvinger Hoss Prussen S.A.)
Fanny Sergent (PricewaterhouseCoopers, Société Coopérative)
- C3 Real Estate Investment Funds (REIF)
Lauren Williams (PricewaterhouseCoopers, Société Coopérative)
Robert White (Ernst & Young S.A.)
ALFI Coordinator: David Zackenfels
- C3.0 REIF Steering Committee
Lauren Williams (PricewaterhouseCoopers, Société Coopérative)
Robert White (Ernst & Young S.A.)
- C3.1 REIF Financial Framework
Andreas Meier (Deloitte General Services S.à r.l.)
Bobbi Jean Breboneria (KPMG Luxembourg, Société coopérative)
- C3.2 REIF Best Practices – Fund Operations

Structure of ALFI committees 2019/2020

- Abdel Hmitti (State Street Services (Luxembourg) S.A.)
 - Harald Thul (Deloitte General Services S.à r.l.)
- C3.3 REIF Publications and Media
 - Arne Bolch (Dechert (Luxembourg) LLP)
 - Alessia Lorenti (Edmond de Rothschild Asset Management (Luxembourg))
 - Alessia Lorenti (Edmond de Rothschild Asset Management (Luxembourg))
- C3.4 REIF Survey
 - Catherine Gauthier
 - Brown Brothers Harriman (Luxembourg) S.C.A.)
 - Francisco Da Cunha (Deloitte General Services S.à r.l.)
- C3.5 REIF Events
 - Brian McMahon (The Bank of New York Mellon S.A./NV, Luxembourg Branch)
 - Alessia Lorenti (Edmond de Rothschild Asset Management (Luxembourg))
- C3.6 REIF Industry Associations
 - Sven Rein (Pancura)
 - Patrick Reuter (Elvinger Hoss Prussen société anonyme)
- C3.7 REIF Professional Development
 - David Louis (Charles Russell Speechlys Luxembourg)
 - Conor Cleere (Citco Bank Nederland N.V.Luxembourg Branch)
- C3.8 REIF Strategy
 - Pierre Kreemer (KPMG Luxembourg, Société Coopérative)
 - Pierre Beissel (Arendt & Medernach S.A.)
- C4 Private Equity & Venture Capital Funds
 - Gilles Dusemon (Arendt & Medernach S.A.)
 - Alain Kinsch (Ernst & Young S.A.)
- C4.1 PE & VC Legal Aspect
 - Frédérique Lifrange (Elvinger Hoss Prussen S.A.)
 - Thibaut Partsch (Elvinger Hoss Prussen société anonyme)
- C4.2 PE & VC Publications
 - Joachim Kuske (VP Fund Solutions (Luxembourg) S.A.)
 - Arne Bolch (GSK (Luxembourg) S.A.)
- C5 Infrastructure Funds
 - Amaury Evrard (PricewaterhouseCoopers , Société Coopérative)
 - Jean-Christian Six (Allen & Overy)
 - ALFI Coordinator: David Zackenfels
- C5.1 Infrastructure Funds Best Practices
 - John Ravoisin (PricewaterhouseCoopers , Société Coopérative)
- C5.2 Infrastructure Funds – Tax, Legal and Regulatory
 - Thierry Braem (PricewaterhouseCoopers , Société Coopérative)
 - Antoine Badot (KPMG Luxembourg, Société coopérative)
- C5.3 Infrastructure Funds – Promotion of Luxembourg
 - Pierre Mallet (PricewaterhouseCoopers , Société Coopérative)
 - Muhammad Tahir Khan (KPMG Luxembourg, Société coopérative)
- C6 AIFMD Reporting
 - Nathalie Dogniez (PricewaterhouseCoopers, Société Coopérative)
 - Leon Schwab-Mulvany (BlackRock Fund Management Company S.A.)
- C6.1 AIFMD Reporting – Annual reports
 - Nathalie Dogniez (PricewaterhouseCoopers , Société coopérative)
 - Leon Schwab-Mulvany (BlackRock Fund Management Company S.A.)

- C7 Debt funds
 - Isabelle Dauvergne (PricewaterhouseCoopers , Société coopérative)
 - Pierre Kreemer (KPMG Luxembourg, Société coopérative)

D

Fund Distribution Committee

Chairperson: David Claus
(The Bank of New York Mellon (Luxembourg) S.A.)
ALFI Coordinator: Pierre Oberlé

- D1 Dematerialised Mutual Fund Sales Agreement
 - Noel Fessey (European Fund Administration S.A.)
- D2 LFF/ALFI RQFII
 - Nicolas Mackel (Luxembourg for Finance)
 - Camille Thommes (ALFI)
 - Marc-André Bechet (ALFI)
- D3 UCITS KIID
 - Susanne Schartz (SEQVOIA S.A.)
- D4 UCITS IV – Simplified Notification Procedure
 - Michael John Flynn
 - ALFI Coordinator: Evelyne Christiaens
- D5 Distribution Steering Committee
 - David Claus (The Bank of New York Mellon S.A./NV, Luxembourg Branch)

E

Professional Training Committee

Chairperson: Emmanuel Bégat (ME Business Solutions S.à.r.l.)
ALFI Coordinator: Pierre Oberlé

F

Legal and Regulatory Committee

Chairperson International Affairs:
Hermann Beythan (Linklaters LLP)
Chairperson National Affairs:
Gast Juncker (Elvinger Hoss Prussen S.A.)
ALFI Coordinator: Evelyne Christiaens

- F1 International Affairs and Developments
 - Hermann Beythan (Linklaters LLP)
- F1.1 UCITS Developments
 - Hermann Beythan (Linklaters LLP)
- F1.2 European Marketing Rules
 - Hermann Beythan (Linklaters LLP)
 - Frédérique Lifrange (Elvinger Hoss Prussen S.A.)
- F1.3 Exchange Traded Funds (ETF)
 - Philippe Lenges (Deloitte General Services S.à r.l.)
- F1.4 MiFID/PRIIPs steering committee
 - Heimo Plössnig (Assenagon Asset Management S.A.)
- F1.4.1 MiFID/PRIIPs costs and charges
 - Michael John Flynn
 - Anne de Nonancourt

Structure of ALFI committees 2019/2020

F1.4.2	(European Fund Administration S.A.) PRIIPs performance scenarios and risks Olivia Moessner (Elvinger Hoss Prussen S.A.) Gregory Surply (Franklin Templeton International Services S.à.r.l.) Stefan Junglen (DWS Investment S.A.)	F2.4.1.4	Manfred Hoffmann (Arendt & Medernach S.A.) Application of the AML/CTF Due Diligence – Direct investors/intermediated channel Emmanuel Vergeynst (Edmond de Rothschild Asset Management Luxembourg) Giovanni Cataldi (AllianceBernstein Luxembourg) S.à r.l.) Sandrine Labro (Brown Brothers Harriman Luxembourg S.C.A)
F1.4.4	MiFID independent advice and inducements Olivia Tournier-Demal (MJ Hudson Management S.A.)	F2.4.1.5	Glossary and Preamble Gast Juncker (Elvinger Hoss Prussen S.A.) Hema Jewootah (Lombard Odier Funds (Europe) S.A.)
F1.4.5	MiFID product governance Florence Stainier (Arendt & Medernach S.A.)	F2.4.1.6	The AML/CTF Regulatory Framework Manfred Hoffmann (Arendt & Medernach S.A.) Isabelle Scherf (FIL Investment Management Luxembourg) S.A.)
F1.4.6	MiFID suitability and appropriateness Josiane Schroeder (Linklaters)	F2.6	Changes to Luxembourg Company Law Frédérique Lifrange (Elvinger Hoss Prussen S.A.)
F1.4.7	PRIIPs general aspects Bernard Lambeau (More Carrot (Europe) S.à.r.l.) Mario Mantrisi (KNEIP Communication S.A.)	F2.7	Money Market Funds Nathalie Dogniez (PricewaterhouseCoopers , Société Coopérative)
F1.4.8	MiFID Research fees Florence Stainier (Arendt & Medernach S.A.)	F2.7.1	Money Market Funds Implementation and Operational Considerations Nathalie Dogniez (PricewaterhouseCoopers , Société Coopérative)
F1.5	Capital Markets Union Florence Stainier (Arendt & Medernach S.A.) Geoff Radcliffe (BlackRock (Luxembourg) S.A.)	F2.8	Asset Management and Systemic Risk Geoff Radcliffe (BlackRock (Luxembourg) S.A.) Nathalie Dogniez (PricewaterhouseCoopers, Société coopérative)
F1.6	Securitisation Matthieu Taillandier (Arendt & Medernach S.A.) Frank Mausen (Allen & Overy)	F2.9	Remuneration Policies in the Financial Sector Dan Hedley (Fidelity International)
F1.6.1	Securitisation - Impact on investment funds Frédérique Lifrange (Elvinger Hoss Prussen société anonyme)	F2.10	UCITS Sanctions Regime Isabelle Lebbe (Arendt & Medernach S.A.)
F1.7	Performance fees Anne de Nonancourt (European Fund Administration S.A.) Michael John Flynn	F2.11	Changes to Luxembourg fund laws Jacques Elvinger (Elvinger Hoss Prussen S.A.) Claude Niedner (Arendt & Medernach S.A.)
F2	National Affairs and Implementation Gast Juncker (Elvinger Hoss Prussen S.A.)	F2.12	Shareholder Rights Directive II Kim Kirsch (MDO Management Company S.A.)
F2.1	UCITS Implementation Gast Juncker (Elvinger Hoss Prussen S.A.)	F3	Pensions & Long Term Savings Silke Bernard (Linklaters LLP) Gerd Gerbhard (Pecoma Actuarial and Risk S.A.) ALFI Coordinator: David Zackenfels
F2.2	UCITS Eligible Assets Michèle Eisenhuth (Arendt & Medernach S.A.) Nathalie Dogniez (PricewaterhouseCoopers , Société Coopérative)	F3.1	European Long Term Investment Funds Silke Bernard (Linklaters LLP) Yann Power (ME Business Solutions S.a.r.l)
F2.3	Securities Lending Jacques Elvinger (Elvinger Hoss Prussen S.A.)	F3.2	Pan-European personal pension product (PEPP) Silke Bernard (Linklaters LLP) Gerd Gebhard (Pecoma Actuarial and Risk S.A.)
F2.4	Anti-Money Laundering (AML) Hema Jewootah (Lombard Odier Funds (Europe) S.A.) Isabelle Scherf (FIL Investment Management Luxembourg S.A.)	F3.2.1	Pan-European personal pension product (PEPP) Tax Gerd Gebhard (Pecoma Actuarial and Risk S.A.)
F2.4.1	AML Guidelines Steering Group Hema Jewootah (Lombard Odier Funds (Europe) S.A.) Isabelle Scherf (FIL Investment Management Luxembourg) S.A.)	F4	General Data Protection Regulation Olivier Reisch (DLA Piper Luxembourg) Frédéric Vonner (PricewaterhouseCoopers, Société Coopérative) ALFI Coordinator: Susanne Weismüller
F2.4.1.1	Oversight obligations – the Risk Based Approach – Impact on Due Diligence Frank Schaffer (Fisch Fund Services AG) Gerard Green (Invesco Management S.A.) Isabelle Scherf (FIL Investment Management Luxembourg S.A.)	F4.1	GDPR Business impact analysis Frédéric Vonner (PricewaterhouseCoopers, Société Coopérative) Maria Teresa Fulci-de Rosée (Crestbridge Management Company S.A.)
F2.4.1.2	The application of the Risk Based Approach – Asset Side Frank Schaffer (Fisch Fund Services AG) Jerome Vlamynck (Fisch Fund Services AG) Hema Jewootah (Lombard Odier Funds (Europe) S.A.)	F4.2	GDPR AML/KYC and tax aspects Gast Juncker (Elvinger Hoss Prussen S.A.)
F2.4.1.3	The application of the Risk Based Approach – Liability side Sandrine Labro (Brown Brothers Harriman Luxembourg S.C.A) Giovanni Cataldi (AllianceBernstein (Luxembourg) S.à r.l.)	F4.3	GDPR General aspects Olivier Reisch (DLA Piper Luxembourg) Anna Roehrs (GAM (Luxembourg) S.A.)
		F4.4	Guidance on data breaches Olivier Reisch (DLA Piper Luxembourg)

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- F4.5 Guidance on the use of GDPR consent vs CSSF consent
Olivier Reisch (DLA Piper Luxembourg)
- F4.6 Guidance on a GDPR compliance monitoring plan
Frédéric Vonner (PricewaterhouseCoopers,
Société Coopérative)
- F4.7 Review of ALFI's due diligence guidelines regarding GDPR
Frédéric Vonner (PricewaterhouseCoopers,
Société Coopérative)

G

Market Infrastructure Committee

Chairperson: Geoff Radcliffe (BlackRock (Luxembourg) S.A.)
ALFI Coordinator: François Baratte

- G1 Market Infrastructure Steering Committee
Frédéric Pérard (BNP Paribas Securities Services,
succursale de Luxembourg)
- G2 Total Expense Ratio (TER)
Daniela Klasen-Martin
(Crestbridge Management Company S.A.)
- G2.1 Disclosure of Transaction Costs
Nadia Faber (Ernst & Young S.A.)
- G2.2 Total Expense Ratio (TER) Survey
Daniela Klasen-Martin
(Crestbridge Management Company S.A.)
- G3 Global Investment Performance Standards (GIPS)
Fanny Sergent
(PricewaterhouseCoopers, Société Coopérative)
- G4 Swing Pricing
Geoff Radcliffe
(BlackRock Fund Management Company S.A.)
Philippe Ringard
(JPMorgan Asset Management Europe S.à.r.l.)
- G4.1 Swing Pricing Survey
Craig Blair (Franklin Templeton International
Services S.à.r.l.)
- G5 Solvency II
Sylvain Crépin (Deloitte General Services S.à r.l.)
Martin Reinhard (KPMG Luxembourg,
Société coopérative)
- G6 EMIR/OTC Derivatives
Henning Schwabe (Arendt & Medernach S.A.)
Sven Muehlenbrock (KPMG Luxembourg,
Société coopérative)
- G7 Fund Accounting and Reporting Requirements
Justin Griffiths (Deloitte General Services S.à r.l.)
- G9 Target 2 Securities (T2S) / Central Securities
Depositories (CSD)
- G10 Statistics & Research
ALFI Coordinator: Régine Rugani
- G10.1 Data Collection and Nominalization
- G10.2 Economic Research
Paolo Vinciarelli
(Banque et Caisse d'Épargne de l'Etat, Luxembourg)

H

Responsible Investing Committee

Chairpersons: Nathalie Dogniez
(PricewaterhouseCoopers, Société Coopérative)
Corinne Molitor (Innpact S.à.r.l.)
ALFI Coordinator: Pierre Oberlé

- H1 RI Legal and Policy
Nathalie Dogniez (PricewaterhouseCoopers,
Société Coopérative)
- H2 RI Market Intelligence and Outreach
Charles Muller (The Directors' Office S.A.)
- H3 Impact Investing Conference
- H4 RI Fund Labels
Laurent Capolaghi (Ernst & Young S.A.)
- H5 Disclosures and ESG Reporting
Corinne Molitor (Innpact S.à r.l.)

J

Tax Committee

Chairperson: Keith O'Donnell (ATOZ S.A.)
ALFI Coordinator: Marc-André Bechet

- J1 Tax Steering Committee
Keith O'Donnell (ATOZ S.A.)
- J2 Subscription Tax
Oliver Weber
(PricewaterhouseCoopers, Société Coopérative)
Jacques Elvinger (Elvinger Hoss Prussen S.A.)
- J2.1 Electronic filing of subscription tax returns
Kerstin Boernecke
(PricewaterhouseCoopers, Société Cooperative)
Uwe Gillen (DZ PRIVATBANK S.A.)
- J4 Double Tax Treaties
Keith O'Donnell (ATOZ S.A.)
- J5 Value Added Tax, (VAT)
Michel Lambion (Deloitte General Services S.à r.l.)
- J6 CRS/Foreign Account Tax Compliance Act (FATCA)
Gérard Laures (KPMG Luxembourg,
Société coopérative)
- J7 AIFMD tax aspects
Raymond Krawczykowski
(Deloitte General Services S.à r.l.)
- J8 Financial Transaction Tax (FTT)
- J9 Thorsten Vollmer (Deutsche Asset Management)
BEPS
Keith O'Donnell (ATOZ S.A.)
- J9.1 DAC 6
Antoine Badot (KPMG Luxembourg,
Société cooperative)
- J10 Strategic Tax Issues
Keith O'Donnell (ATOZ S.A.)
- J11 German tax
Judith Mertesdorf-Perathoner
(Franklin Templeton International Services S.à.r.l.)
Holger Hartmann (bepartners Bödecker
Ernst & Partner)
- J12 UK Tax
Birgitt Schitthoff-Hönninger
(RBC Investor Services Bank S.A.)
- J13 Austrian Tax

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- J14 Johannes Höring (GAM (Luxembourg) S.A.)
Swiss Tax
Yvonne Billerbeck
(Schroder Investment Management (Europe) S.A.)
- J15 Digital taxation

K

Risk Management Committee

Chairpersons: Christoph Adamy (Allianz Global Investors GmbH, Zweigniederlassung Luxembourg)
Thomas Nummer (Trinova S.A.)
Olivier Carré (PricewaterhouseCoopers, Société Coopérative)
ALFI Coordinator: Désirée Doenges

- K1 Risk Management Steering Committee
Christoph Adamy (Allianz Global Investors GmbH, Zweigniederlassung Luxembourg)
Olivier Carré (PricewaterhouseCoopers, Société Coopérative)
Thomas Nummer (Trinova S.A.)
- K2 Risk Management Promotion & Communication Support
Christoph Adamy (Allianz Global Investors GmbH, Zweigniederlassung Luxembourg)
Olivier Carré (PricewaterhouseCoopers, Société Coopérative)
Thomas Nummer (Trinova S.A.)
- K3 Risk Management governance and operational risks
Sacha Reverdiau (Jupiter Asset Management International S.A.)
Graham Goodhew
Kai Nemeč (Union Investment Luxembourg S.A.)
Tilo Reichert (Swiss Life Fund Management (Lux) S.A.)
- K4 Market, Credit & Counterparty risks
Luc Neuberg (House of Training)
Sven Muehlenbrock (KPMG Luxembourg, Société coopérative)
Riadh Khodri (Pictet & Cie (Europe) S.A.)
- K5 Liquidity Risks
Michael Derwael (MFS Investment Management Company (Lux) S.à r.l.)
Svetlana Butvina (BlackRock (Luxembourg) S.A.)
- K6 Real Asset Risks
Martin Ewen (Arkus Financial Services S.A.)
Mike Sommer (Schroder Investment Management (Europe) S.A.)
Heidi Makela (Aberdeen Standard Investments Luxembourg S.A.)
- K7 Sustainability risk management
Stefan Junglen (DWS Investment S.A.)

2

Governance Forum

Chairpersons: Michèle Eisenhuth (Arendt & Medernach S.A.)
Revel Wood (One Group Solutions)
Justin Griffiths (Deloitte General Services S.à r.l.)
ALFI Coordinator: David Zackenfels

- 2.1 Conducting Officer and ManCo
Gregory Kok (JTC (Luxembourg) S.A.)
Craig Blair (Franklin Templeton International Services S.à.r.l.)
- 2.1.1 Due diligence Reviews of Service Providers
Revel Wood (One Group Solutions.)
Elina Vincent (Pictet Asset Management (Europe) S.A.)
Gregory Kok, (JTC (Luxembourg) S.A.)
- 2.2 ALFI Code of Conduct
Michèle Eisenhuth (Arendt & Medernach S.A.)
Revel Wood (One Group Solutions)
Justin Griffiths (Deloitte General Services S.à r.l.)

3

Investor Forum

Chairpersons: Simon Ramos (Deloitte General Services S.à r.l.)
Christina Coustry (Banque Internationale à Luxembourg S.A.)
ALFI Coordinators: Anna Ferreri, Mihaela Mercier

4

TA & Distribution Forum

Chairpersons: Rafal Kwasny
(Franklin Templeton International Services S.à.r.l.)
Hugo Larginho Bras (avantage Reply Luxembourg S.à r.l.)
ALFI Coordinator: François Baratte

- 4.5 TA Forum Steering Committee
Rafal Kwasny (Franklin Templeton International Services S.à.r.l.)
Hugo Larginho Bras (avantage Reply Luxembourg S.à r.l.)
- 4.1 AML / KYC TADO
Jean-Philippe Houard (The Bank of New York Mellon S.A./ NV, Luxembourg Branch)
- 4.2 TADO Making the transformation happen
Jon Willis (Calastone Limited)
Hugo Larginho Bras (avantage Reply Luxembourg S.à r.l.)
- 4.3 TADO Hot Topics
Rafal Kwasny (Franklin Templeton International Services S.à.r.l.)
Mike Stevens (J.P.Morgan Bank Luxembourg S.A.)
- 4.7 ALFI TA Steering Committee Asia
Caroline Higgins (Northern Trust)

5

ABBL/ALFI Depository Bank Forum

Chairperson: Nils Cullen (Brown Brothers Harriman (Luxembourg) S.C.A.)
ALFI Coordinator: François Baratte

- 5.1 Depository Bank Forum Steering Committee
Nils Cullen (Brown Brothers Harriman (Luxembourg) S.C.A.)
Christian Dominique (BNP Paribas Securities Services)
- 5.2 Depository Guidelines & Best Practices
 - 5.2.1 Financial Instruments held in Custody (FIC)
Christoph Haas (Ernst & Young S.A.)
 - 5.2.1.1 Financial Instruments held in Custody (FIC) guidelines
Christoph Haas (Ernst & Young S.A.)
 - 5.2.2 Other Assets (OTA)
Laurent Fessmann (Baker & McKenzie Luxembourg)
Michael Mekhaeel (Brown Brothers Harriman Luxembourg S.C.A.)
 - 5.2.2.1 Other Assets (OTA) guidelines
Laurent Fessmann (Baker & McKenzie Luxembourg)
Michael Mekhaeel (Brown Brothers Harriman Luxembourg S.C.A.)
 - 5.2.4 Oversight duties
 - 5.2.5 Training and Education
 - 5.3 External relations
Frank Wassmer (The Bank of New York Mellon S.A./NV, Luxembourg Branch)
 - 5.4 New Regulations Forum
Hermann Beythan (Linklaters LLP)
 - 5.5 New CDRs on Delegated Safekeeping
Frank Wassmer (The Bank of New York Mellon S.A./ NV, Luxembourg Branch)

6

Digital/FinTech Forum

Chairpersons: Steven Libby (PricewaterhouseCoopers, Société Coopérative)
Serge Weyland (Edmond de Rothschild Asset Management Luxembourg)
ALFI Coordinator: François Drazdik

- 6.1 Digital/FinTECH Steering Committee
Steven Libby (PricewaterhouseCoopers, Société Coopérative)
Serge Weyland (Edmond de Rothschild Asset Management Luxembourg)
- 6.2 Digital Investing
Said Fihri (KPMG Luxembourg, Société Coopérative)
Laurent Denayer (ume Luxembourg S.A.)
- 6.3 Fund Distribution & TA
Keith Hale (TrustQuay)
Duncan Christie (International Financial Data Services Luxembourg S.A. (IFDS))
- 6.4 Blockchain & Crypto-Currencies
Laurent Marochini (Société Générale Securities Services Luxembourg)
Emilie Allaert (Luxembourg House of Financial Technology)
- 6.5 Digital Funding
David Louis (Charles Russell Speechlys Luxembourg)
Rolf Caspers (Sanne Group (Luxembourg) S.A.)

- 6.6 **Cybersecurity**
Martin Dobbins (Sage Advisory)
Mehtap Numanoglu (Brown Brothers Harriman Luxembourg S.C.A.)
- 6.6.1 Cybersecurity Steering committee
Martin Dobbins (Sage Advisory)
Mehtap Numanoglu (Brown Brothers Harriman Luxembourg S.C.A.)
- 6.6.2 Cybersecurity: Communications
Eric Bedell (Franklin Templeton International Services S.à r.l.)
Henning Schwabe (Arendt & Medernach S.A.)
- 6.6.3 Cybersecurity : Governance and Oversight
Carolyn Linnevers (LuxCSD S.A.)
Thomas Koch (Ernst & Young S.A.)
- 6.6.4 Cybersecurity: Industry & Associations Engagement
Alain Guérard (Mont Blanc Consult S.à r.l.)
Kavitha Ramachandran (Maitland Luxembourg S.A.)
- 6.6.5 Cybersecurity : Regulatory
Laurent de la Vaissière
(KPMG Luxembourg, Société coopérative)
Stéphane Hurtaud (Deloitte General Services S.à r.l.)
- 6.7 **Fund Governance & Risk Management**
Stéphane Badey (Arendt Regulatory & Consulting S.A.)
Paul Mullins (Wellington Luxembourg S.à r.l.)
- 6.8 **Fund Administration & Custody**
Pierre Bauwens (Alpha Financial Markets Consulting (Luxembourg) S.A.)
Davide Martucci (Next Gate Tech)

7

Diversity and Inclusion

Interim Chairperson: Corinne Lamesch
(FIL Investment Management (Luxembourg) S.A.)
ALFI Coordinators: Adina Lupu, Camille Thommes

8

Portfolio Management

ALFI Coordinator: Camille Thommes