

STRUCTURE OF ALFI TECHNICAL COMMITTEES

October 2018

COMMITTEE	CHAIRPERSON			ALFI COORDINATOR	MEMBERSHIP STATUS
Technical Committees					
B - PROMOTION & CONFERENCES COMMITTEE (TC)					
B - PROMOTION & CONFERENCES COMMITTEE (TC)	Michael	Ferguson	EY	Camille Thommes Anouk Agnes	
B. - Promotion Steering Committee (SC)	Michael	Ferguson	EY		Only Chairpersons
B1 - ALFI Conferences Advisory Committee - EAM (SC)					Full
B2 - ALFI Conferences Advisory Committee - Global Distribution (SC)	Charles	Muller	The Directors' Office		Full
B3 - Risk Management Conference (SC)	Luc Christoph	Neuberg Adamy	BCEE Asset Management Allianz Global Investors GmbH, Zweigniederlassung Luxembourg		Full
B4 - ALFI Conferences Advisory Committee - PE & RE (SC)	Charles	Muller	The Directors' Office		Full
B5 - ALFI Golf Event (SC)	Ulrich	Binninger	ULB Consult S.à r.l.		Full
B6 - Roadshows (SC)	Michèle	Eisenhuth	Arendt & Medernach S.A.		Full
B6.1 - ALFI Roadshow to Frankfurt (WG)	Catherine Maria	Rückel Löwenbrück	PricewaterhouseCoopers, Société Coopérative Union Investment Luxembourg S.A.		Full
B6.2 - ALFI Roadshow to Switzerland (WG)	Gilbert Germain	Schintgen Birgen	UBS Fund Management (Luxembourg) S.A. Banque de Luxembourg S.A.		Full
B6.3 - ALFI Roadshow to London (WG)	Chris	Edge	PricewaterhouseCoopers, Société Coopérative		Full
B7 - ALFI Advisory Committee - TA & Distribution Operations Forum (SC)	Hugo Rafal	Larguinho Brás Kwasny	PricewaterhouseCoopers, Société Coopérative Franklin Templeton International Services S.à r.l.		Full
B8 - Leading Edge Conference (SC)	Christophe Graham	Lentschat Goodhew	Arendt Services S.A.		Full
B9 - Hong Kong (SC)	Ching Yng	Choi	ALFI Asia Representative Office		Open
BB10 - Middle East (SC)	Germain	Birgen	Banque de Luxembourg S.A.		Open
BB10.1 - Dubai (WG)	Emmanuelle	Entringer	Arendt & Medernach S.A.		Open
BB11 - Singapore (SC)	Valérie Ching Yng	Mantot Choi	Sanne Group ALFI Asia Representative Office		Open
C - ALTERNATIVE INVESTMENTS COMMITTEE (TC)					
C - ALTERNATIVE INVESTMENTS COMMITTEE (TC)	Claude	Niedner	Arendt & Medernach S.A.	Susanne Weismüller	
C1 - Alternative Investments Steering Committee (SC)	Claude	Niedner	Arendt & Medernach S.A.		Only on invitation
C1.1 - AIFMD review and developments (WG)	Claude	Niedner	Arendt & Medernach S.A.		Open
C2 - Hedge Funds (SC)	Jerôme Régis	Wigny Malcourant	Elvinger Hoss Prussen S.A. PricewaterhouseCoopers, Société Coopérative		Open
C3 - Real Estate Investment Funds (REIF) (SC)	Keith Michael	Burman Hornsby	MPL Services (Luxembourg) S.A. EY		Open
C3.1 - REIF Financial Framework (WG)	Alison Lize	Macleod Griffiths	KPMG Luxembourg, Société coopérative Deloitte Luxembourg		Full
C3.2 - REIF Best Practices - Fund Operations (WG)	Abdel Harald	Hmitti Thul	State Street Services (Luxembourg) S.A. Deloitte Luxembourg		Full
C3.3 - REIF Publications and Media (WG)	Robert Alessia	White Lorenti	EY Edmond de Rothschild Asset Management (Luxembourg)		Open
C3.4 - REIF Survey (WG)	Catherine Francisco	Gauthier da Cunha	Brown Brothers Harriman (Luxembourg) S.C.A. Deloitte Luxembourg		Only Chairpersons
C3.5 - REIF Events (WG)	Lauren Johan	Williams Terblanche	PricewaterhouseCoopers, Société Coopérative Maples & Calder (Luxembourg)		Full
C3.6 - REIF Industry Associations (WG)	Sven	Rein	Pancura		Open

	Pascal	Hernalsteen	Palmarium Fund Managers S.à.r.l.	
C3.7 - REIF Professional Development (WG)	David Conor	Louis Cleere	Charles Russell Speechlys Luxembourg Citco Bank Nederland N.V. Luxembourg Branch	Open
C3.8 - REIF Strategy (WG)	Pierre Alan	Kreemer Dundon	KPMG Luxembourg, Société coopérative Alter Domus Luxembourg S.à r.l.	Full
C4 - Private Equity & Venture Capital Funds (SC)	Gilles Alain	Dusemon Kinsch	Arendt & Medernach S.A. EY	Open
C4.1 - PE & VC Legal Aspects (WG)	Frédérique	Lifrange	Elvinger Hoss Prussen S.A.	Open
C4.2 - PE & VC Publications (WG)	Joachim Arne	Kuske Bolch	VP Fund Solutions (Luxembourg) S.A. GSK Luxembourg S.A.	Open
C5 - Infrastructure Funds (SC)	Amaury Jean-Christian	Evrard Six	PricewaterhouseCoopers, Société Coopérative Allen & Overy	Full
C5.1 - Infrastructure Funds Best Practices (WG)	John Frauke Carola	Ravoisin Oddone	PricewaterhouseCoopers, Société Coopérative KPMG Luxembourg, Société coopérative	Open
C5.2 - Infrastructure Funds - Tax, Legal and Regulatory (WG)	Thierry Antoine	Braem Badot	PricewaterhouseCoopers, Société Coopérative KPMG Luxembourg, Société coopérative	Open
C5.3 - Infrastructure Funds - Promotion of Luxembourg (WG)	Pierre Muhammad Tahir	Mallet Khan	PricewaterhouseCoopers, Société Coopérative KPMG Luxembourg, Société coopérative	Open
C6 - AIFMD Reporting (SC)	Nathalie Leon	Dogniez Schwab-Mulvany	PricewaterhouseCoopers, Société Coopérative BlackRock Fund Management Company S.A.	Open
C6.1 AIFMD Reporting - Annual Reports (WG)	Nathalie Leon	Dogniez Schwab-Mulvany	PricewaterhouseCoopers, Société Coopérative BlackRock Fund Management Company S.A.	Open
C7 - Debt funds (SC)	Isabelle Pierre	Dauvergne Kreemer	PricewaterhouseCoopers, Société Coopérative KPMG Luxembourg, Société coopérative	Open
D - FUND DISTRIBUTION COMMITTEE (TC)				
D - FUND DISTRIBUTION COMMITTEE (TC)	David	Claus	The Bank of New York Mellon S.A./NV, Luxembourg Branch	Pierre Oberlé
D1 - Dematerialised Mutual Fund Sales Agreement (SC)	Noel	Fessey	European Fund Administration S.A.	Full
D2 - LFF/ALFI RQFII (SC)	Nicolas Camille	Mackel Thommes	Luxembourg for Finance Association of the Luxembourg Fund Industry	Open
D4 - UCITS IV - Simplified Notification Procedure (SC)	Marc-André Michael John	Bechet Flynn	Association of the Luxembourg Fund Industry T. Rowe Price (Luxembourg) Management S.à r.l.	Full
D5 - Distribution Steering Committee (SC)	David	Claus	The Bank of New York Mellon S.A./NV, Luxembourg Branch	Full
E - PROFESSIONAL TRAINING COMMITTEE (TC)				
E - PROFESSIONAL TRAINING COMMITTEE (TC)	Emmanuel	Bégat	ME Business Solutions S.à r.l.	Full
F- LEGAL AND REGULATORY COMMITTEE (TC)				
F- LEGAL AND REGULATORY COMMITTEE (TC)	Hermann Gast	Beythan Juncker	Linklaters Elvinger Hoss Prussen S.A.	Evelyne Christiaens Open
F1 - International Affairs and Developments (SC)	Hermann	Beythan	Linklaters	Open
F1.1 - UCITS Developments (WG)	Hermann	Beythan	Linklaters	Open
F1.2 - European Marketing Rules (WG)	Hermann Frédérique	Beythan Lifrange	Linklaters Elvinger Hoss Prussen S.A.	Open
F1.3 - Exchange Traded Funds (ETF) (WG)	Philippe	Lenges	Deloitte Luxembourg	Open
F1.4 - MiFID / PRIIPs steering committee (WG)	Heimo	Plössnig	Assenagon Asset Management S.A.	Full
F1.4.1 - MiFID / PRIIPs costs and charges (SWG)	Michael John	Flynn	T. Rowe Price (Luxembourg) Management S.à r.l.	Open
	Anne	de Nonancourt	European Fund Administration S.A.	
F1.4.2 - MiFID / PRIIPs performance scenarios (SWG)	Gregory Olivia	Surply Moessner	Franklin Templeton Investments Elvinger Hoss Prussen S.A.	Open
F1.4.3 MiFID / PRIIPs risks (SWG)	Stefan	Junglen	Deutsche Asset Management S.A.	Open
F1.4.4 - MiFID independent advice and inducements (SWG)	Olivia	Tournier-Demal	MJ Hudson Management S.A.	Open
F1.4.5 - MiFID product governance (SWG)	Florence	Stainier	Arendt & Medernach S.A.	Open

F1.4.6 - MiFID suitability and appropriateness (SWG)	Josiane	Schroeder	Linklaters		Open
F1.4.7 - PRIIPs general aspects (SWG)	Mario Bernard	Mantrisi Lambeau	LuxFLAG More Carrot (Europe) S.à r.l.		Open
F1.4.8 - MiFID Research fees (SWG)	Florence	Stainier	Arendt & Medernach S.A.		Open
F1.5 - Capital Markets Union (WG)	Florence Geoff	Stainier Radcliffe	Arendt & Medernach S.A. BlackRock Fund Management Company S.A.		Open
F1.6 - Securitisation (WG)	Matthieu Frank	Taillandier Mausen	Arendt & Medernach S.A. Allen & Overy		Open
F2 - National Affairs & Implementation (SC)	Gast	Juncker	Elvinger Hoss Prussen S.A.		Open
F2.1 - UCITS Implementation (WG)	Gast	Juncker	Elvinger Hoss Prussen S.A.		Open
F2.10 - UCITS Sanctions Regime (WG)	Isabelle	Lebbe	Arendt & Medernach S.A.		Open
F2.2 - UCITS Eligible Assets (WG)	Nathalie Michèle	Dogniez Eisenhuth	PricewaterhouseCoopers, Société Coopérative Arendt & Medernach S.A.		Open
F2.3 - Securities Lending (SWG)	Jacques	Elvinger	Elvinger Hoss Prussen S.A.		Open
F2.4 - Anti-Money Laundering (AML) (WG)	Marco	Zwick	Commission de Surveillance du Secteur Financier		Full
F2.6 - Changes to Luxembourg Company Law (WG)	Frédérique	Lifrange	Elvinger Hoss Prussen S.A.		Open
F2.7 - Money Market Funds (WG)	Nathalie	Dogniez	PricewaterhouseCoopers, Société Coopérative		Open
F2.7.1 - MMF Implementation and Operational Considerations (WG)	Nathalie	Dogniez	PricewaterhouseCoopers, Société Coopérative		Full
F2.8 - Asset Management and Systemic Risk (WG)	Geoff Nathalie	Radcliffe Dogniez	BlackRock (Luxembourg) S.A. PricewaterhouseCoopers, Société Coopérative		Open
F2.9 - Remuneration Policies in the Financial Sector (WG)	Dan	Hedley	Fidelity International		Open
F3 - Pensions & Long Term Savings (SC)	Silke Gerd	Bernard Gebhard	Linklaters Pecoma Actuarial and Risk S.A.		Open
F3.1 - European Long Term Investment Funds (WG)	Silke Yann	Bernard Power	Linklaters ME Business Solutions S.à r.l.		Open
F3.2 - Pan-European personal pension product (PEPP) (WG)	Silke Gerd	Bernard Gebhard	Linklaters Pecoma Actuarial and Risk S.A.		Open
F3.2.1 - Pan-European personal pension product (PEPP) Tax (SWG)	Gerd	Gebhard	Pecoma Actuarial and Risk S.A.		Open
F4 - General Data Protection Regulation (SC)	Olivier Frédéric	Reisch Vonner	DLA Piper Luxembourg PricewaterhouseCoopers, Société Coopérative		Open
F4.1 - GDPR Business impact analysis (WG)	Frédéric Maria Teresa	Vonner Fulci-de Rosée	PricewaterhouseCoopers, Société Coopérative Crestbridge		Open
F4.2 - GDPR AML/KYC and tax aspects (WG)	Gast	Juncker	Elvinger Hoss Prussen S.A.		Open
F4.3 - GDPR General aspects (WG)	Olivier Anna	Reisch Roehrs	DLA Piper Luxembourg GAM (Luxembourg) S.A.		Open
G - MARKET INFRASTRUCTURE COMMITTEE (TC)					
G - MARKET INFRASTRUCTURE COMMITTEE (TC)	Frédéric	Pérard	BNP Paribas Securities Services, succursale de Luxembourg	François Baratte	Open
	Geoff	Radcliffe	BlackRock Fund Management Company S.A.		
G1 - Market Infrastructure Steering Committee (SC)	Frédéric	Pérard	BNP Paribas Securities Services, succursale de Luxembourg		Only Chairpersons
G2 - Total Expense Ratio (TER) (SC)	Daniela	Klasen-Martin	Crestbridge		Full
G2.1 - Disclosure of Transaction Costs (WG)	Nadia	Faber	EY		Full
G2.2 - Total Expense Ratio (TER) Survey (WG)	Daniela	Klasen-Martin	Crestbridge		Open
G3 - Global Investment Performance Standards (GIPS) (SC)	Fanny	Sergent	PricewaterhouseCoopers, Société Coopérative		Open
G4 - Swing Pricing (SC)	Geoff Philippe	Radcliffe Ringard	BlackRock Fund Management Company S.A. JPMorgan Asset Management (Europe) S.à r.l.		Full
G4.1 - Swing Pricing Survey (WG)	Craig	Blair	Franklin Templeton International Services S.à r.l.		Open
G5 - Solvency II (SC)	Xavier Martin	Zaegel Reinhard	Deloitte Luxembourg KPMG Luxembourg, Société coopérative		Open
G6 - EMIR/OTC Derivatives (SC)	Henning Sven	Schwabe Muehlenbrock	Arendt & Medernach S.A. KPMG Luxembourg, Société coopérative		Open
G7 - Fund Accounting and Reporting Requirements (SC)	Justin	Griffiths	Deloitte Luxembourg		Open
G9 - Target 2 Securities (T2S) / Central Securities Depositories (CSD) (SC)					Open

GG10 - Statistics & Research (SC)	Olivier	Renault	Société Générale Securities Services Luxembourg	Régine Rugani	Only Chairpersons
GG10.1 - Data Collection and Nominalization (WG)					Only on invitation
GG10.2 - Economic Research (WG)	Paolo	Vinciarelli	Banque et Caisse d'Epargne de l'Etat, Luxembourg		Only on invitation
H - RESPONSIBLE INVESTING COMMITTEE (TC)					
H - RESPONSIBLE INVESTING COMMITTEE (TC)	Christian Corinne	Hertz Molitor	LIS S.A. Innpact S.à r.l.	Anouk Agnes	Open
H1 - RI Legal and Policy (SC)	Christian	Hertz	LIS S.A.		Open
H2 - RI Market Intelligence and Outreach (SC)	Charles	Muller	The Directors' Office		Open
H3 - Impact Investing Conference (SC)	Anouk	Agnes	Association of the Luxembourg Fund Industry		Open
H4 - RI Fund Labels (SC)	Laurent	Capolaghi	EY		Open
J - TAX COMMITTEE (TC)					
J - TAX COMMITTEE (TC)	Keith	O'Donnell	ATOZ Tax Advisers Luxembourg	Marc-André Bechet	Open
J1 - Tax Steering Committee (SC)	Keith	O'Donnell	ATOZ Tax Advisers Luxembourg		Only Chairpersons
J2 - Subscription Tax (SC)	Oliver Jacques	Weber Elvinger	PricewaterhouseCoopers, Société Coopérative Elvinger Hoss Prussen S.A.		Open
J2.1 - Electronic filing of subscription tax returns (WG)	Kerstin Uwe	Boernecke Gillen	PricewaterhouseCoopers, Société Coopérative DZ PRIVATBANK S.A.		Open
J4 - Double Tax Treaties (SC)	Keith	O'Donnell	ATOZ Tax Advisers Luxembourg		Open
J5 - Value Added Tax (VAT) (SC)	Michel	Lambion	Deloitte Luxembourg		Open
J6 - CRS/ Foreign Account Tax Compliance Act (FATCA) (SC)	Gérard	Laures	KPMG Luxembourg, Société coopérative		Full
J7 - AIFMD tax aspects (SC)	Raymond	Krawczykowski	Deloitte Luxembourg		Full
J8 - Financial Transaction Tax (FTT) (SC)	Thorsten	Vollmer	Deutsche Asset Management		Full
J9 - BEPS (SC)	Flora	Castellani	KPMG Luxembourg, Société coopérative		Open
J9.1 - DAC 6	Flora	Castellani	KPMG Luxembourg, Société coopérative	Vilma Domenicucci	Open
JJ10 - Strategic Tax Issues (SC)	Keith	O'Donnell	ATOZ Tax Advisers Luxembourg		Full
JJ11 - German Tax (SC)	Judith	Mertesdorf-Perathoner	Franklin Templeton International Services S.à r.l.		Full
	Holger	Hartmann	bepartners Bödecker Ernst & Partner		
JJ12 - UK Tax (SC)	Birgitt	Schithoff-Hönninger	RBC Investor Services Bank S.A.		Open
JJ13 - Austrian Tax (SC)	Johannes	Höring	Structured Invest S.A.		Open
JJ14 - Swiss Tax (WG)	Yvonne	Billerbeck	Northern Trust Global Services PLC, Luxembourg Branch		Full
K - RISK MANAGEMENT COMMITTEE (TC)					
K - RISK MANAGEMENT COMMITTEE (TC)	Christoph	Adamy	Allianz Global Investors GmbH, Zweigniederlassung Luxembourg	David Zackenfels	Open
	Thomas	Nummer	Trinova S.A.		
K1 - Risk Management Steering Committee (SC)	Olivier Christoph	Carré Adamy	PricewaterhouseCoopers, Société Coopérative Allianz Global Investors GmbH, Zweigniederlassung Luxembourg		Only Chairpersons
K2 - Risk Management Promotion & Communication Support (SC)	Thomas Olivier	Nummer Carré	Trinova S.A. PricewaterhouseCoopers, Société Coopérative		Full
	Christoph	Adamy	Allianz Global Investors GmbH, Zweigniederlassung Luxembourg		
K3 - Market & Liquidity Risks (SC)	Sven Michael	Muehlenbrock Derwael	KPMG Luxembourg, Société coopérative Lombard Odier Funds (Europe) S.A.		Open
K4 - Counterparty & Credit Risks (SC)	Luc Mike	Neuberg Sommer	BCEE Asset Management Franklin Templeton International Services S.à r.l.		Open
K5 - Operational Risks (SC)	Graham Sacha	Goodhew Reverdiau	Jupiter Asset Management International S.A.		Open
K6 - Real Asset Risks (SC)	Kai Daniela	Braun Klasen-Martin	EY Crestbridge		Open
Forums					
FORUM 2 - GOVERNANCE FORUM	Michèle Revel	Eisenhuth Wood	Arendt & Medernach S.A. FundRock Management Company S.A.	David Zackenfels	Open

FORUM 2.1 - Conducting Officer and ManCo	Justin Gregory Craig	Griffiths Robinson-Kok Blair	Deloitte Luxembourg Maitland Luxembourg S.A. Franklin Templeton International Services S.à r.l.		Open
FORUM 2.1.1 - Due Diligence Reviews of Service Providers	Revel Elina	Wood Vincent	FundRock Management Company S.A. Aberdeen Global Services S.A.		Full
FORUM 2.2 - ALFI Code of conducts	Gregory Henry	Robinson-Kok Kelly	Maitland Luxembourg S.A. KellyConsult S.à r.l.		Full
FORUM 3 - INVESTOR FORUM	Christina Simon	Coustry Ramos	Banque Internationale à Luxembourg S.A. Deloitte Luxembourg	Mihaela Mercier Anna Prihodova	Open
FORUM 4 - TA & DISTRIBUTION FORUM	Rafal	Kwasny	Franklin Templeton International Services S.à r.l.		Full
	Hugo	Larguinho Brás	PricewaterhouseCoopers, Société Coopérative		
FORUM 4. - TA Forum Steering Committee (TASC)	Rafal	Kwasny	Franklin Templeton International Services S.à r.l.		Open
	Hugo	Larguinho Brás	PricewaterhouseCoopers, Société Coopérative		
FORUM 4.1 - AML / KYC TADO	Jean-Philippe	Houard	The Bank of New York Mellon S.A./NV, Luxembourg Branch		Full
FORUM 4.2 - TADO Making the transformation happen	Jon Hugo	Willis Larguinho Brás	Calastone Limited PricewaterhouseCoopers, Société Coopérative		Full
FORUM 4.3 - TADO Hot Topics	Rafal	Kwasny	Franklin Templeton International Services S.à r.l.		Full
FORUM 4.7 - ALFI TA Steering Committee Asia	Caroline Christian	Higgins Ridole	Northern Trust J.P. Morgan Bank Luxembourg S.A.	Ching Yng Choi François Baratte	Open Full
FORUM 5 - ABL/ALFI DEPOSITARY BANK FORUM	Christian Yvan	Ridole de Laurentis	J.P. Morgan Bank Luxembourg S.A. BNP Paribas Securities Services, succursale de Luxembourg		Only Chairpersons Open
	Christian	Ridole	J.P. Morgan Bank Luxembourg S.A.		
FORUM 5.2.1 - In-Banks Assets	Christoph	Haas	EY		Open
FORUM 5.2.1.1 - In Bank Assets Guidelines	Christoph	Haas	EY		Open
FORUM 5.2.2 - Not-In-Bank Assets	Laurent Michael	Fessmann Mekhaeel	Baker & McKenzie Luxembourg Brown Brothers Harriman (Luxembourg) S.C.A.		Full
FORUM 5.2.2.1 - Not-In-Bank Assets Guidelines	Laurent Michael	Fessmann Mekhaeel	Baker & McKenzie Luxembourg Brown Brothers Harriman (Luxembourg) S.C.A.		Open
FORUM 5.2.4 - Oversight Duties	Christian	Ridole	J.P. Morgan Bank Luxembourg S.A.		Open
FORUM 5.2.5 - Training & Education	Yvan	de Laurentis	BNP Paribas Securities Services, succursale de Luxembourg		Full
FORUM 5.4 - New Regulations Forum	Hermann	Beythan	Linklaters		Open
FORUM 6 - DIGITAL/FINTECH	Steven Serge	Libby Weyland	Pwc Luxembourg Edmond de Rothschild Asset Management (Luxembourg)	François Drazdik	Full
FORUM 6.1 - Digital/FinTECH Steering Committee	Steven Serge	Libby Weyland	Pwc Luxembourg Edmond de Rothschild Asset Management (Luxembourg)		Full
FORUM 6.2 - Digital Investing	Laurent Saïd	Denayer Fihri	ume Luxembourg S.A. KPMG Luxembourg, Société coopérative		Full
FORUM 6.3 - Data Management & Security	Keith Stéphane	Hale Badey	FinTech Investor / NED Arendt Regulatory & Consulting S.A.		Full
FORUM 6.4 - Blockchain & Crypto-Currencies	Pierre Laurent	Bauwens Marochini	Deloitte Luxembourg Société Générale Securities Services Luxembourg		Full
FORUM 6.5 - Equity Crowd Funding and P2P Lending	David Hakan	Louis Lucius	Charles Russell Speechlys Luxembourg European Investment Bank		Full