

STRUCTURE OF ALFI TECHNICAL COMMITTEES

September 2017

COMMITTEE	CHAIRPERSON			ALFI COORDINATOR	MEMBERSHIP STATUS
Technical Committees					
B - PROMOTION & CONFERENCES COMMITTEE (TC)					
B - PROMOTION & CONFERENCES COMMITTEE (TC)	Michael	Ferguson	EY	Camille Thommes Anouk Agnes	
B. - Promotion Steering Committee (SC)	Michael	Ferguson	EY		Only Chairpersons
B1 - ALFI Conferences Advisory Committee - EAM (SC)					Full
B2 - ALFI Conferences Advisory Committee - Global Distribution (SC)					Full
B3 - Risk Management Conference (SC)	Marco Christoph	Zwick Adamy	RBC Investor Services Bank S.A. Allianz Global Investors GmbH, Zweigniederlassung Luxembourg		Full
B4 - ALFI Conferences Advisory Committee - PE & RE (SC)					Full
B5 - ALFI Golf Event (SC)	Ulrich	Binnerger	ULB Consult S.à r.l.		Full
B6 - Roadshows (SC)	Michèle	Eisenhuth	Arendt & Medernach S.A.		Full
B6.1 - ALFI Roadshow to Frankfurt (WG)	Doris Catherine	Marx Rückel	Deutsche Asset Management S.A. PricewaterhouseCoopers, Société Coopérative		Full
B6.2 - ALFI Roadshow to Switzerland (WG)	Gilbert Germain	Schintgen Birgen	UBS Fund Management (Luxembourg) S.A. Banque de Luxembourg S.A.		Full
B6.3 - ALFI Roadshow to London (WG)	Chris	Edge	PricewaterhouseCoopers, Société Coopérative		Full
B7 - ALFI Advisory Committee - TA & Distribution Operations Forum (SC)	Hugo Rafal	Larquinho Brás Kwasny	PricewaterhouseCoopers, Société Coopérative HSBC Bank plc, Luxembourg Branch		Full
B8 - Leading Edge Conference (SC)	Christophe Graham	Lentschat Goodhew	Arendt Services S.A.		Full
B9 - Hong Kong (SC)	Ching Yng	Choi	ALFI Asia Representative Office		Open
BB10 - Middle East (SC)	Germain	Birgen	Banque de Luxembourg S.A.		Open
BB10.1 - Dubai (WG)	Emmanuelle	Entringer	Arendt & Medernach S.A.		Open
BB11 - Singapore (SC)	Valérie Ching Yng	Mantot Choi	Sanne Group ALFI Asia Representative Office		Open
C - ALTERNATIVE INVESTMENTS COMMITTEE (TC)					
C - ALTERNATIVE INVESTMENTS COMMITTEE (TC)	Claude	Niedner	Arendt & Medernach S.A.	Susanne Weismüller	
C1 - Alternative Investments Steering Committee (SC)	Claude	Niedner	Arendt & Medernach S.A.		Only on invitation
C1.1 - AIFMD review and developments (WG)	Claude	Niedner	Arendt & Medernach S.A.		Open
C2 - Hedge Funds (SC)	Jérôme Régis	Wigny Malcourant	Elvinger Hoss Prussen S.A. PricewaterhouseCoopers, Société Coopérative		Open
C3 - Real Estate Investment Funds (REIF) (SC)	Keith Michael	Burman Hornsby	MPL Services (Luxembourg) S.A. EY		Open
C3.1 - REIF Financial Framework (WG)	Alison Lize	Macleod Griffiths	KPMG Luxembourg, Société coopérative Deloitte Luxembourg		Full
C3.2 - REIF Best Practices - Fund Operations (WG)	John Harald	Beavers Thul	EY Intertrust (Luxembourg) S.à r.l.		Full
C3.3 - REIF Publications and Media (WG)	Robert Alessia	White Lorenti	EY Banque Internationale à Luxembourg S.A.		Only Chairpersons
C3.4 - REIF Survey (WG)	Catherine Valérie	Gauthier Tollet	Brown Brothers Harriman (Luxembourg) S.C.A. Deloitte Luxembourg		Open
C3.5 - REIF Events (WG)	Lauren Johan	Williams Terblanche	PricewaterhouseCoopers, Société Coopérative Dechert (Luxembourg) LLP		Full
C3.6 - REIF Industry Associations (WG)	Sven Pascal	Rein Hernalsteen	Pancura Palmarium Fund Managers S.à.r.l.		Open
C3.7 - REIF Professional Development (WG)	David Conor	Louis Cleere	Charles Russell Speechlys Luxembourg Citco Bank Nederland N.V. Luxembourg Branch		Open
C3.8 - REIF Strategy (WG)	Pierre	Kreemer	KPMG Luxembourg, Société coopérative		Full

	Alan	Dundon	Alter Domus Luxembourg S.à r.l.	
C4 - Private Equity & Venture Capital Funds (SC)	Gilles Alain	Dusemon Kinsch	Arendt & Medernach S.A. EY	Open
C4.1 - PE & VC Legal Aspects (WG)	Frédérique	Lifrange	Elvinger Hoss Prussen S.A.	Open
C4.2 - PE & VC Publications (WG)	Joachim Arne	Kuske Bolch	GSK Luxembourg S.A.	Open
C5 - Infrastructure Funds (SC)	Amaury Jean-Christian	Evrard Six	PricewaterhouseCoopers, Société Coopérative Allen & Overy	Full
C5.1 - Infrastructure Funds Best Practices (WG)	John Frauke Carola	Ravoisin Oddone	PricewaterhouseCoopers, Société Coopérative KPMG Luxembourg, Société coopérative	Open
C5.2 - Infrastructure Funds - Tax, Legal and Regulatory (WG)	Thierry Antoine	Braem Badot	PricewaterhouseCoopers, Société Coopérative KPMG Luxembourg, Société coopérative	Open
C5.3 - Infrastructure Funds - Promotion of Luxembourg (WG)	Pierre Muhammad Tahir	Mallet Khan	PricewaterhouseCoopers, Société Coopérative KPMG Luxembourg, Société coopérative	Open
C6 - AIFMD Reporting (SC)	Nathalie Leon	Doqniez Schwab-Mulvany	PricewaterhouseCoopers, Société Coopérative BlackRock Fund Management Company S.A.	Open
C6.1 AIFMD Reporting - Annual Reports (WG)	Nathalie Leon	Doqniez Schwab-Mulvany	PricewaterhouseCoopers, Société Coopérative BlackRock Fund Management Company S.A.	Open
C7 - Debt funds (SC)	Isabelle Pierre	Dauvergne Kreemer	PricewaterhouseCoopers, Société Coopérative KPMG Luxembourg, Société coopérative	Open
D - FUND DISTRIBUTION COMMITTEE (TC)				
D - FUND DISTRIBUTION COMMITTEE (TC)	David	Claus	The Bank of New York Mellon S.A./NV, Luxembourg Branch	Pierre Oberlé
D1 - Dematerialised Mutual Fund Sales Agreement (SC)	Noel	Fessey	Schroder Investment Management (Luxembourg)	Full
D2 - LFF/ALFI RQFII (SC)	Nicolas Camille	Mackel Thommes	Luxembourg for Finance Association of the Luxembourg Fund Industry	Open
D4 - UCITS IV - Simplified Notification Procedure (SC)	Marc-André Michael John	Bechet Flynn	Association of the Luxembourg Fund Industry BlackRock Fund Management Company S.A.	Full
D5 - Distribution Steering Committee (SC)	David	Claus	The Bank of New York Mellon S.A./NV, Luxembourg Branch	Full
E - PROFESSIONAL TRAINING COMMITTEE (TC)				
E - PROFESSIONAL TRAINING COMMITTEE (TC)	Emmanuel	Bégat	ME Business Solutions S.à r.l.	Jean-Jacques Picard
F- LEGAL AND REGULATORY COMMITTEE (TC)				
F- LEGAL AND REGULATORY COMMITTEE (TC)	Hermann Gast	Beythan Juncker	Linklaters Elvinger Hoss Prussen S.A.	Evelyne Christiaens
F1 - International Affairs and Developments (SC)	Hermann	Beythan	Linklaters	Open
F1.1 - UCITS Developments (WG)	Hermann	Beythan	Linklaters	Open
F1.2 - European Marketing Rules (WG)	Hermann Frédérique	Beythan Lifrange	Linklaters Elvinger Hoss Prussen S.A.	Open
F1.3 - Exchange Traded Funds (ETF) (WG)	Philippe	Lenges	Deloitte Luxembourg	Open
F1.4 - Investor protection (MiFID/PRIIPs) (WG)	Heimo Alastair	Plössniq Woodward	Assenagon Asset Management S.A. Schroder Investment Management (Luxembourg)	Full
F1.4.1 - MiFID / PRIIPs costs and charges (SWG)	Michael John Daniela	Flynn Klasen-Martin	BlackRock Fund Management Company S.A. Crestbridge Management Company S.A.	Open
F1.4.2 - MiFID / PRIIPs performance scenarios (SWG)	Gregory Olivia	Surply Moessner	Franklin Templeton Investments Elvinger Hoss Prussen S.A.	Open
F1.4.3 MiFID / PRIIPs risks (SWG)	Claudy Stefan	Huart Junglen	UBP Asset Management (Europe) S.A. Deutsche Asset Management S.A.	Open
F1.4.4 - MiFID independent advice and inducements (SWG)	Olivia	Tournier	MJ Hudson Management S.A.	Open
F1.4.5 - MiFID product governance (SWG)	Florence	Stainier	Arendt & Medernach S.A.	Open
F1.4.6 - MiFID suitability and appropriateness (SWG)	Josiane	Schroeder	Linklaters	Open
F1.4.7 - PRIIPs general aspects (SWG)	Mario Bernard	Mantrisi Lambeau	KNEIP Communication S.A. More Carrot (Europe) S.à r.l.	Open

F1.4.8 - MiFID Research fees (SWG)					Open
F1.5 - Capital Markets Union (WG)	Florence Geoff	Stainier Radcliffe	Arendt & Medernach S.A. BlackRock Fund Management Company S.A.		Open
F1.6 - Securitisation (WG)	Matthieu	Taillandier	Arendt & Medernach S.A.		Open
F2 - National Affairs & Implementation (SC)	Gast	Juncker	Elvinger Hoss Prussen S.A.		Open
F2.1 - UCITS Implementation (WG)	Gast	Juncker	Elvinger Hoss Prussen S.A.		Open
F2.10 - UCITS Sanctions Regime (WG)	Isabelle	Lebbe	Arendt & Medernach S.A.		Open
F2.2 - UCITS Eligible Assets (WG)	Emmanuel Frédéric Michèle	Henrion Eisenhuth	Linklaters Arendt & Medernach S.A.		Open
F2.3 - Securities Lending (SWG)	Nathalie	Dogniez	PricewaterhouseCoopers, Société Coopérative		Open
F2.4 - Anti-Money Laundering (AML) (WG)	Jacques	Elvinger	Elvinger Hoss Prussen S.A.		Full
F2.6 - Changes to Luxembourg Company Law (WG)	Marco	Zwick	RBC Investor Services Bank S.A.		Open
F2.7 - Money Market Funds (WG)	Frédérique	Lifrange	Elvinger Hoss Prussen S.A.		Open
F2.7.1 - MMF Implementation and Operational Considerations (WG)	Nathalie	Dogniez	PricewaterhouseCoopers, Société Coopérative		Full
F2.8 - Asset Management and Systemic Risk (WG)	Geoff Nathalie	Radcliffe Dogniez	BlackRock (Luxembourg) S.A. PricewaterhouseCoopers, Société Coopérative		Open
F2.9 - Remuneration Policies in the Financial Sector (WG)	Dan	Hedley	Fidelity International		Open
F3 - Pensions & Long Term Savings (SC)	Silke Gerd	Bernard Gebhard	Linklaters Pecoma Actuarial and Risk S.A.		Open
F3.1 - European Long Term Investment Funds (WG)	Silke Yann	Bernard Power	Linklaters ME Business Solutions S.à r.l.		Open
F3.2 - Pan-European personal pension product (PEPP) (WG)	Silke Gerd	Bernard Gebhard	Linklaters Pecoma Actuarial and Risk S.A.		Open
F3.2.1 - Pan-European personal pension product (PEPP) Tax (SWG)	Gerd	Gebhard	Pecoma Actuarial and Risk S.A.		Open
F4 - General Data Protection Regulation (SC)	Olivier Frédéric	Reisch Vonner	Linklaters PricewaterhouseCoopers, Société Coopérative		Open
G - MARKET INFRASTRUCTURE COMMITTEE (TC)					
G - MARKET INFRASTRUCTURE COMMITTEE (TC)	Frédéric	Pérard	BNP Paribas Securities Services, succursale de Luxembourg	François Baratte	Open
	Geoff	Radcliffe	BlackRock Fund Management Company S.A.		
G1 - Market Infrastructure Steering Committee (SC)	Frédéric	Pérard	BNP Paribas Securities Services, succursale de Luxembourg		Only Chairpersons
G2 - Total Expense Ratio (TER) (SC)	Daniela	Klasen-Martin	Crestbridge Management Company S.A.		Full
G2.1 - Disclosure of Transaction Costs (WG)	Nadia	Faber	EY		Full
G2.2 - Total Expense Ratio (TER) Survey (WG)	Daniela	Klasen-Martin	Crestbridge Management Company S.A.		Open
G3 - Global Investment Performance Standards (GIPS) (SC)	Fanny	Sergent	PricewaterhouseCoopers, Société Coopérative		Open
G4 - Swing Pricing (SC)	Geoff Philippe	Radcliffe Ringard	BlackRock Fund Management Company S.A. JPMorgan Asset Management (Europe) S.à r.l.		Full
G4.1 - Swing Pricing Survey (WG)	Craig	Blair	Franklin Templeton International Services S.à r.l.		Open
G5 - Solvency II (SC)	Xavier Martin	Zaegel Reinhard	Deloitte Luxembourg KPMG Luxembourg, Société coopérative		Open
G6 - EMIR/OTC Derivatives (SC)	Henning Sven	Schwabe Muehlenbrock	Arendt & Medernach S.A. KPMG Luxembourg, Société coopérative		Open
G7 - Fund Accounting and Reporting Requirements (SC)	Philippe	Lenges	Deloitte Luxembourg		Open
G8 - International Financial Reporting Standards (IFRS) (SC)	Justin	Griffiths	Deloitte Luxembourg		Open
G9 - Target 2 Securities (T2S) / Central Securities Depositories (CSD) (SC)	Michael	Weber	Allianz Global Investors GmbH		Open
GG10 - Statistics & Research (SC)	Olivier	Renault	Société Générale Securities Services Luxembourg	Régine Rugani	Only Chairpersons
GG10.1 - Data Collection and Nominalization (WG)					Only on invitation
GG10.2 - Economic Research (WG)	Paolo	Vinciarelli	Banque et Caisse d'Epargne de l'Etat, Luxembourg		Only on invitation
H - RESPONSIBLE INVESTING COMMITTEE (TC)					
H - RESPONSIBLE INVESTING COMMITTEE (TC)	Christian Corinne	Hertz Molitor	Luxembourg Investment Solutions S.A. Innpact S.à r.l.	Anouk Agnes	Open

H1 - RI Legal and Policy (SC)	Christian	Hertz	Luxembourg Investment Solutions S.A.		Open
H2 - RI Market Intelligence and Outreach (SC)	Charles	Muller	KPMG Luxembourg, Société coopérative		Open
H3 - Impact Investing Conference (SC)	Annemarie	Arens	LuxFLAG		Open
H4 - RI Fund Labels (SC)	Laurent	Capolaghi	EY		Open
H4.1 - LuxFLAG Climate Finance Label (WG)	Laurent	Capolaghi	EY		Open
J - TAX COMMITTEE (TC)					
J - TAX COMMITTEE (TC)	Georges	Bock	KPMG Luxembourg, Société coopérative	Marc-André Bechet	Open
J1 - Tax Steering Committee (SC)	Georges	Bock	KPMG Luxembourg, Société coopérative		Only Chairpersons
J2 - Subscription Tax (SC)	Oliver Jacques	Weber Elvinger	PricewaterhouseCoopers, Société Coopérative Elvinger Hoss Prussen S.A.		Open
J2.1 - Electronic filing of subscription tax returns (WG)	Kerstin Uwe	Boernecke Gillen	PricewaterhouseCoopers, Société Coopérative DZ PRIVATBANK S.A.		Open
J3 - European Savings Directive (EuSD) (SC)	Georges	Bock	KPMG Luxembourg, Société coopérative		Open
J4 - Double Tax Treaties (SC)	Keith	O'Donnell	ATOZ Tax Advisers Luxembourg		Open
J5 - Value Added Tax (VAT) (SC)	Michel	Lambion	Deloitte Luxembourg		Open
J6 - CRS/ Foreign Account Tax Compliance Act (FATCA) (SC)	Gérard	Laures	KPMG Luxembourg, Société coopérative		Full
J6.1 - CRS (FATCA) Lobbying and Interpretations (WG)	Gérard	Laures	KPMG Luxembourg, Société coopérative		Full
J6.2 - CRS / FATCA Operational Steering Committee (WG)	Thierry	Detz	BNP Paribas Securities Services, succursale de Luxembourg		Only Chairpersons
	Gudrun	Göbel	FundRock Management Company S.A.		
J6.3 - CRS/ FATCA Entities scoping, KYC, data protection (WG)	Maria Teresa Thierry	Fulci-de Rosée Detz	Crestbridge BNP Paribas Securities Services, succursale de Luxembourg		Full
J6.4 - CRS/ FATCA Reporting (WG)	Patrice	Fritsch	Ernst & Young S.A.		Full
J7 - AIFMD tax aspects (SC)	Raymond	Krawczykowski	Deloitte Luxembourg		Full
J8 - Financial Transaction Tax (FTT) (SC)	Thorsten	Vollmer	Deutsche Asset & Wealth Management Investment GmbH		Full
J9 - BEPS (SC)	Georges	Bock	KPMG Luxembourg, Société coopérative		Open
JJ10 - Strategic Tax Issues (SC)	Georges	Bock	KPMG Luxembourg, Société coopérative		Full
JJ11 - German Tax (SC)	Judith	Mertesdorf-Perathoner	Franklin Templeton International Services S.à r.l.		Full
	Holger	Hartmann	bepartners Bödecker Ernst & Partner		
JJ12 - UK Tax (SC)	Birgitt	Schithhoff-Hönninger	RBC Investor Services Bank S.A.		Open
JJ13 - Austrian Tax (SC)	Johannes	Höring	Structured Invest S.A.		Open
JJ14 - Swiss Tax (WG)	Yvonne	Billerbeck	UBS Fund Services (Luxembourg) S.A.		Full
K - RISK MANAGEMENT COMMITTEE (TC)					
K - RISK MANAGEMENT COMMITTEE (TC)	Christoph	Adamy	Allianz Global Investors GmbH, Zweigniederlassung Luxembourg	David Zackenfels	Open
	Thomas	Nummer	Trinova S.A.		
K1 - Risk Management Steering Committee (SC)	Olivier Christoph	Carré Adamy	PricewaterhouseCoopers, Société Coopérative Allianz Global Investors GmbH, Zweigniederlassung Luxembourg		Only Chairpersons
K2 - Risk Management Promotion & Communication Support (SC)	Thomas Olivier	Nummer Carré	Trinova S.A. PricewaterhouseCoopers, Société Coopérative		Open
	Christoph	Adamy	Allianz Global Investors GmbH, Zweigniederlassung Luxembourg		
K3 - Market & Liquidity Risks (SC)	Sven Michael	Muehlenbrock Derwael	KPMG Luxembourg, Société coopérative Lombard Odier Funds (Europe) S.A.		Open
K4 - Counterparty & Credit Risks (SC)	Luc Mike	Neuberg Sommer	BCEE Asset Management Franklin Templeton International Services S.à r.l.		Open
K5 - Operational Risks (SC)	Graham Sacha	Goodhew Reverdiau	Nomura - Global Funds Management S.A.		Open
K6 - Real Asset Risks (SC)	Kai Daniela	Braun Klasen-Martin	EY Crestbridge Management Company S.A.		Open
Forums					
FORUM 2 - GOVERNANCE FORUM	Henry	Kelly	KellyConsult S.à r.l.	David Zackenfels	Open
FORUM 2.1 - Conducting Officer and ManCo	Gregory Craig	Robinson-Kok Blair	Maitland Luxembourg S.A. Franklin Templeton International Services S.à r.l.		Open

FORUM 2.1.1 - Due Diligence Reviews of Service Providers	Revel Elina	Wood Vincent	FundRock Management Company S.A. Aberdeen Global Services S.A.		Full
FORUM 2.2 - ALFI Code of conducts	Gregory Henry	Robinson-Kok Kelly	Maitland Luxembourg S.A. KellyConsult S.à r.l.		Full
FORUM 3 - INVESTOR FORUM	Kim Simon	Pilgaard Ramos	Nordea Investment Funds S.A. Deloitte Luxembourg	Anna Prihodova	Open
FORUM 4 - TA & DISTRIBUTION FORUM	Josée-Lynda	Denis	Association of the Luxembourg Fund Industry	Josée-Lynda Denis	Full
FORUM 4. - TA Forum Steering Committee (TASC)	Josée-Lynda	Denis	Association of the Luxembourg Fund Industry		Full
FORUM 4.1 - Operations	Josée-Lynda	Denis	Association of the Luxembourg Fund Industry		Full
FORUM 4.1.1 - Standardisation	Valérie	Letellier	Society for Worldwide Interbank Financial Telecommunication		Full
FORUM 4.1.2 - Distribution Support	Junaed	Kabir	Junaed Kabir and Associates		Full
FORUM 4.1.3 - TA Regulatory	Pierre	Mottion	BNP Paribas Securities Services, succursale de Luxembourg		Full
FORUM 4.1.4 - Alternative Investments Operations	Josée-Lynda Keith	Denis Hale	Association of the Luxembourg Fund Industry Multifonds / IGEFI Group S.à r.l.		Full
FORUM 4.2 - Communication	Josée-Lynda	Denis	Association of the Luxembourg Fund Industry		Full
FORUM 4.2.1 - Marketing & Communication	Josée-Lynda	Denis	Association of the Luxembourg Fund Industry		Full
FORUM 4.2.2 - Events	Josée-Lynda	Denis	Association of the Luxembourg Fund Industry		Full
FORUM 4.2.3 - Industry Associations : Building Bridges	Josée-Lynda	Denis	Association of the Luxembourg Fund Industry		Full
FORUM 5 - ABL/ALFI DEPOSITARY BANK FORUM	Sonia	Thein-Biraschi	State Street Bank Luxembourg S.C.A.	François Baratte	Full
FORUM 5.1 - Depositary Bank Forum Steering Committee	Sonia	Thein-Biraschi	State Street Bank Luxembourg S.C.A.		Only Chairpersons
FORUM 5.2 - Depositary Guidelines & Best Practices	Yvan	de Laurentis	BNP Paribas Securities Services, succursale de Luxembourg		Open
	Christian	Ridole	J.P. Morgan Bank Luxembourg S.A.		
FORUM 5.2.1 - In-Banks Assets	Christoph	Haas	EY		Open
FORUM 5.2.2 - Not-In-Bank Assets	Pierre Christian	Leqardien Ridole	Brown Brothers Harriman (Luxembourg) S.C.A. J.P. Morgan Bank Luxembourg S.A.		Full
	Laurent	Fessmann	Baker & McKenzie Luxembourg		
FORUM 5.2.4 - Oversight Duties	Christian	Ridole	J.P. Morgan Bank Luxembourg S.A.		Open
FORUM 5.2.5 - Training & Education	Yvan	de Laurentis	BNP Paribas Securities Services, succursale de Luxembourg		Full
FORUM 5.4 - New Regulations Forum	Hermann	Beythan	Linklaters		Open
FORUM 6 - DIGITAL/FINTECH	Steven Serge	Libby Weyland	Pwc Luxembourg Banque Internationale à Luxembourg S.A.	François Drazdik	Full
FORUM 6.1 - Digital/FinTECH Steering Committee	Steven Serge	Libby Weyland	Pwc Luxembourg Banque Internationale à Luxembourg S.A.		Full
FORUM 6.2 - Digital Investing	Laurent Said	Denayer Fihri	ume KPMG Luxembourg, Société coopérative		Full
FORUM 6.3 - Data Management & Security	Keith Marc	Hale Mouton	Multifonds / IGEFI Group S.à r.l. Arendt & Medernach S.A.		Full
FORUM 6.4 - Blockchain & Crypto-Currencies	Pierre Laurent	Bauwens Marochini	Deloitte Luxembourg Société Générale Securities Services Luxembourg		Full
FORUM 6.5 - Equity Crowd Funding and P2P Lending	David Hakan	Louis Lucius	Charles Russell Speechlys Luxembourg European Investment Bank		Full